

Fort Bend County, TX
Q12-044 Broker/Dealers

April 24, 2012

Company Name	Contact Person
1. Bank of America Securities, LLC	Julia Erickson
2. Coastal Securities, Inc.	Tony D Sekaly
3. Comerica Securities, Inc.	Chris Theut
4. First Southwest Company	Linda K Callaway-Gusnowski
5. Frost National Bank	Cody Hundley
6. Great Pacific Securities	Bob Fitzgerald
7. Mischler Financial Group, Inc.	Thomas J. Dedo
8. Multi-Bank Securities, Inc.	David Jaumotte
9. Rice Securities, LLC dba Rice Financial Products Company	Carol Mackoff
10. Rockfleet Financial Services, Inc.	John W. Klokel
11. SAMCO Capital Markets, Inc.	Matthew Dakil
12. Shearson Financial Services, LLC	Robert A. Hirsch
13. Stearne Aggee & Leach, Inc.	Traci L. Salinas
14. Wells Fargo Securities, LLC	Ken Guillory