

Broker/Dealer Information SOQ 10-044 and SOQ 10-063

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Broker/Dealer Information 2010

Company Name	Address	Attention	Title	Phone Number	Toll Free	Email Address	Fax number
Amegy Bank of Texas	4400 Post Oak Parkway Houston, TX 77027	William M. Phelan	Vice President	713-888-4608		william.phelan@amegybank.com	
Banc of America Securities, LLC	901 Main Street, Ste. 6350 Dallas, TX 75202-3714	Julia Erickson	Vice President	800-532-0490	800-532-0490	julia.a.erickson@bami.com	
Coastal Securities, INC	5555 San Felipe Ste 2200 Houston, Tx 77056	Tony D Sekaly	Managing Director -Fixed Income Securities	713-435-4334	800-681-4121	tts@coastalsecurities.com	713-435-4534
Comerica Securities, Inc.	201 W. Fort St. 3rd Floor, MC-3089 Detroit, MI 48226	Chris Theut	Vice President Securities - Institutional	313-222-0048	800-327-7058	ctheut@comerica.com	313-964-4591
First Southwest Company	300 W 6th, Suite # 1940 Austin, TX 78701	Linda K Callaway- Gusnowski	Senior Vice President	512-481-2040	800-842-8008	linda.callaway@firstsw.com	512-481-2050
Frost Bank	100 W. Houston San Antonio, TX 78205	Brad Johnson	Investment Officer	210-220-6113	800-438-4881 x56113	Brad.Johnson@frostbank.com	210-220-4111
Capital Market Division	22314 Fairbay Dr. Katy, TX 77450	Robert "Bob" Hirsch	Managing Director	281-574-1614		bhirsch@LF-Financial.com	832-217-3219
LF Financial, LLC	2801 Via Fortuna, Ste. 650 Austin, TX 78746	Polly Moore	Managing Director	512-306-2514	800-625-5841	polly.moore@morgankeegan.com	512-329-0004
Morgan Keegan & Company, Inc	233 S. 13th St., Ste 1012 JNE- 81029 Lincoln, NE 68508	Jeffrey Butterfield	FIS Middle Market Sales	402-742-2615	800-742-7376	jeffrey.j.butterfield@pic.com	402-742-2626
Piper Jaffray & Co.	208 S. LaSalle St., Suite 1338 Chicago, IL 60604	Carol Mackoff	Managing Director	312-855-9235	877-855-2739	carol.mackoff@ricefin.com	
Rice Securities, LLC dba Rice Financial Products Company	515 Madison Ave. 27 East New York, NY 10022	Catherine Corrigan	President, CEO	212-688-1301		apexmom@bloomberg.net cathy.corrigan@rockfleetfinancial.com	312-855-9238
Rockfleet Financial Services, Inc.	7405 Poplar Ave., Suite 234 Germantown, TN 38138	Andy Lamanna	Investment Officer	901-261-3159	866-540-0714	alamanna@viningsparks.com	212-572-9813
Vining Sparks IBG Lpdba Vining Sparks	811 Rusk, Suite 715 Houston, TX 77002	Fenner "Reese" Weller III	VP of Institutional Sales	713-222-1901	888-712-6622	frweller@welleranderson.com	713-222-1385
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