

**DOT****FTA**

U.S. Department of Transportation

Federal Transit Administration

## Application

|                      |                                     |
|----------------------|-------------------------------------|
| Recipient ID:        | 6479                                |
| Recipient Name:      | FORT BEND COUNTY                    |
| Project ID:          | TX-90-Y026-00                       |
| Budget Number:       | 1 - Budget Approved                 |
| Project Information: | FY2012 Sect 5307 program of project |

### Part 1: Recipient Information

|                 |  |
|-----------------|--|
| Project Number: | TX-90-Y026-00                                    |
| Recipient ID:   | 6479   |
| Recipient Name: | FORT BEND COUNTY                                 |
| Address:        | 301 JACKSON ST STE 710, RICHMOND , TX 77469 3108 |
| Telephone:      | (281) 341-8608                                   |
| Facsimile:      | (281) 243-6710                                   |

### Union Information

|               |                                       |
|---------------|---------------------------------------|
| Recipient ID: | 6479                                  |
| Union Name:   | TRANSPORT WORKERS LOCAL 260 - AFL-CIO |
| Address 1:    | 2150 W. 1800 Street, Suite 104        |
| Address 2:    |                                       |
| City:         | Houston, TX 77004                     |
| Contact Name: | Sandra Burleson                       |
| Telephone:    | (713) 864-4346                        |
| Facsimile:    | (713) 869-5655                        |
| E-mail:       |                                       |
| Website:      |                                       |

### Part 2: Project Information

|               |       |                     |             |
|---------------|-------|---------------------|-------------|
| Project Type: | Grant | Gross Project Cost: | \$1,767,701 |
|               |       |                     |             |

|   |                                     |                       |                |
|---|-------------------------------------|-----------------------|----------------|
| Project Number:                         | TX-90-Y026-00                       | Adjustment Amt:       | \$0            |
| Project Description:                    | FY2012 Sect 5307 program of project | Total Eligible Cost:  | \$1,767,701    |
| Recipient Type:                         | City                                | Total FTA Amt:        | \$1,767,701    |
| FTA Project Mgr:                        | Tyson, Cheryle,<br>817.978.0568     | Total State Amt:      | \$0            |
| Recipient Contact:                      | Paulette Shelton,                   | Total Local Amt:      | \$0            |
| New/Amendment:                          | New                                 | Other Federal Amt:    | \$0            |
| Amend Reason:                           | Initial Application                 | Special Cond Amt:     | \$0            |
|   |                                     |                       |                |
| Fed Dom Asst. #:                        | 20507                               | Special Condition:    | None Specified |
| Sec. of Statute:                        | 5307-2                              | S.C. Tgt. Date:       | None Specified |
| State Appl. ID:                         | None Specified                      | S.C. Eff. Date:       | None Specified |
| Start/End Date:                         | Aug. 01, 2013 - Dec. 31, 2015       | Est. Oblig Date:      | None Specified |
| Recvd. By State:                        | Sep. 03, 2013                       | Pre-Award Authority?: | Yes            |
| EO 12372 Rev:                           | Not Applicable                      | Fed. Debt Authority?: | No             |
| Review Date:                            | None Specified                      | Final Budget?:        | No             |
| Planning Grant?:                        | NO                                  |                       |                |
| Program Date (STIP/UPWP/FTA Prm Plan) : | Nov. 30, 2012                       |                       |                |
| Program Page:                           | 24                                  |                       |                |
| Application Type:                       | Electronic                          |                       |                |
|   |                                     |                       |                |
| Supp. Agreement?:                       | Yes                                 |                       |                |
| Debt. Delinq. Details:                  |                                     |                       |                |

### Urbanized Areas

| UZA ID | UZA Name    |
|--------|-------------|
| 480130 | HOUSTON, TX |

### Congressional Districts

| State ID | District Code | District Official |
|----------|---------------|-------------------|
| 48       | 9             | Al Green          |
| 48       | 14            | Randy K Weber     |
| 48       | 22            | Pete Olson        |

### Project Details

> The FY 2012 Section 5307 funds in this grant are for operating assistance. The FTA share, in the amount of \$5,106,135 will be matched by TDCs as noted below.

08/19/13-Total funds awarded for FY2012 are \$5,106,135. At this time, the November 2012 STIP amendment has \$1,767,701 approved for operating assistance, and that is the amount included in this initial application. A future amendment will add \$3,338,434, the remainder of the FY2012 FTA share.

Further, FBC has been awarded TDCs in the amount of \$2,209,626. Of this amount, \$1,767,701 is being assigned to this initial application. The remaining TDCs approved by being used herein (\$441,925) and additional TDCs of \$2,896,509 will be added in future amendments.

08/16/13 Corrected/changed the FTA amount to \$4,419,252 instead of the TDC amount of \$2,209,626-per phone call from Pearlie, the TDC amount should only be in the project details and not listed as an FTA amount. 08/13/13 application revision: the application for FY2012 Section 5307 funds is being revised to decrease the FTA funds and confirm the TDCs authorized to date. On 07/26/13, the Houston-Galveston Area Council (H-GAC) authorized \$2,209,626 in TDCs; a copy of this resolution is attached hereto.

FBCT will amend this grant to include the remainder of its share of the FY2012 Section 5307 Houston urbanized area funds when additional TDCs are awarded.

FY 2012 Section 5307 funds...

Fort Bend County will apply \$4,826,694 of FY2012 Section 5307 funds on ALI 30.09.01 Purchase of Service - Operating. We have requested Transportation Development Credits (TDCs) and expect the MPO will award the TDCs at their June 2013 meeting.

STIP page is attached for project MPOID 14812 [http://ftp.dot.state.tx.us/pub/txdot-info/tpp/stip/rev/fy\\_11\\_14/transit/houston\\_tr\\_072210.pdf](http://ftp.dot.state.tx.us/pub/txdot-info/tpp/stip/rev/fy_11_14/transit/houston_tr_072210.pdf)

## **Earmarks**

**No information found.**

## **Security**

No – We will not expend at least 1% of the 5307 funds in this grant application for security purposes.

3. Other, please describe below.

## **Part 3: Budget**

### **Project Budget**

|   | <u>Quantity</u> | <u>FTA Amount</u> | <u>Tot. Elig. Cost</u> |
|---|-----------------|-------------------|------------------------|
| <u>SCOPE</u>                            |                 |                   |                        |
| <b>300-00 OPERATING ASSISTANCE</b>      | 0               | \$1,767,701.00    | \$1,767,701.00         |
| <u>ACTIVITY</u>                         |                 |                   |                        |
| <b>30.09.01 UP TO 50% FEDERAL SHARE</b> | 0               | \$1,767,701.00    | \$1,767,701.00         |
|   |                 |                   |                        |
| <b>Estimated Total Eligible Cost:</b>   |                 |                   | <b>\$1,767,701.00</b>  |
|   |                 |                   |                        |
|   |                 |                   |                        |

|                       |                       |
|-----------------------|-----------------------|
| <b>Federal Share:</b> | <b>\$1,767,701.00</b> |
| <b>Local Share:</b>   | <b>\$0.00</b>         |

OTHER (Scopes and Activities not included in Project Budget Totals)

**None**

#### SOURCES OF FEDERAL FINANCIAL ASSISTANCE

| <u>UZA ID</u>                          | <u>Accounting Classification</u> | <u>FPC</u> | <u>FY</u> | <u>SEC</u> | <u>Previously Approved</u> | <u>Amendment Amount</u> | <u>Total</u>          |
|--|----------------------------------|------------|-----------|------------|----------------------------|-------------------------|-----------------------|
| 480130                                 | 2012.25.90.91.2                  | 04         | 2013      | 90         | \$0.00                     | \$1,767,701.00          | \$1,767,701.00        |
| <b>Total Previously Approved:</b>      |                                  |            |           |            |                            |                         | <b>\$0.00</b>         |
| <b>Total Amendment Amount:</b>         |                                  |            |           |            |                            |                         | <b>\$1,767,701.00</b> |
| <b>Total from all Funding Sources:</b> |                                  |            |           |            |                            |                         | <b>\$1,767,701.00</b> |

#### Alternative Fuel Codes

#### Extended Budget Descriptions

|   |                         |   |                |                |
|---|-------------------------|---|----------------|----------------|
| <b>30.09.01</b>   | UP TO 50% FEDERAL SHARE | 0 | \$1,767,701.00 | \$1,767,701.00 |
| <p>08/19/13 - amended amount to \$1,767,701 of FTA funds. TDCs of \$1,767,701 are not included in the budget.</p> <p>08/16/13 - Corrected the FTA amount to reflect \$4,419,252 instead of the \$2,209,626 of TDC authorized by HGAC.</p> <p>08/13/13: Section 5307 base budget has been revised to reflect FTA share equal to TDCs authorized to date. Budget will be increased to FBC's total share of the FY2012 Section 5307 urbanized area amount when additional TDCs are awarded.</p> <p>05/29/13: Base budget, Fort Bend County will expend its entire share of Houston-UZA Section 5307 funds for 50% Operating Subsidy. This is necessary to fund transit services as long as possible before the affect of Map-21's limitation on this category of expenses and subsequent negative impact on regional transit funding.</p> <p>FBCT has requested TDCs as local match for these grant funds.</p> |                         |   |                |                |

#### Changes since the Prior Budget

**No information found.**

#### Part 4. Milestones

**30.09.01** UP TO 50% FEDERAL SHARE 0 \$1,767,701 \$1,767,701

|    | Milestone Description  | Est. Comp. Date |
|----|--|-----------------|
| 1. | Final Expenditure  | Dec. 31, 2014   |
|    | 08/13/13, Base: estimated completion date has been revised to reflect the reduced budget in this initial application. The estimated completion date will be extended when additional funds are added in a future grant modification. |                 |
|    | 05/29/13, Base: Funds will be used for 50% operating subsidy and will be matched by TDCs.  |                 |

## Part 5. Environmental Findings

**300901** UP TO 50% FEDERAL SHARE 0 \$1,767,701 \$1,767,701

### Finding No. 1 - Class II(c)

#### **C04** - Planning and administrative activity

Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

## Part 6: Fleet Status

**No information found.**

## Part 7. FTA Comments

### General Review

|                |   |
|----------------|---|
| Comment Title: | Review Comment  |
| Comment By:    | Pearlie Tiggs   |
| Date Created:  | Jun. 17, 2013   |
| Date Updated:  | Jun. 18, 2013   |
| Ref Section:   | Project Overview  |
| Comment:       | <p>This grant application is under review; all review comments must be addressed before a grant number is assign.</p> <p>*****Review Comments *****</p> <ul style="list-style-type: none"> <li>- Update Certifications and Assurances to relect current fiscal year;</li> <li>- Attach TIP/STIP to the paperclip in TEAM to reflect all budget line items;</li> </ul> |

### Conditions of Award

|                |  |
|----------------|--|
| Comment Title: | DOL Certification  |
| Comment By:    | Eldridge Onco  |
| Date Created:  | Sep. 20, 2013  |
| Date Updated:  | None Specified   |
| Ref Section:   | Unknown  |
| Comment:       | This award of Federal financial assistance is subject to the terms and conditions set forth in the U.S. Department of Labor's certification letter dated September 20, 2013, to the Federal Transit Administration with respect to this numbered grant, including any attachments to the letter, which are fully incorporated herein by reference. |

### **Comments to DOL**

|                |   |
|----------------|---|
| Comment Title: | Comment to DOL  |
| Comment By:    | Pearlie Tiggs   |
| Date Created:  | Jun. 17, 2013   |
| Date Updated:  | Aug. 20, 2013   |
| Ref Section:   | Project Overview  |
| Comment:       | <p>The FTA share, in the amount of \$1,767,701 is for operating assistance.</p> <p>Based on the timeline noted in the December 7, 1995 DOL guidelines, please advise the FTA of the 49 USC, Section 5333(b) terms and conditions for inclusion in the grant contract.</p> <p>&gt;FTA Contact: Pearlie Tiggs, 817.978.0567</p> <p>**Grantee Contact: Paulette Shelton, 281.243.6701....Email:sheltonp@co.fort-bend.tx.us .... 281.243.6702....Fax: 281.243.6715....Union Contact: Sandra Burleson, 713.864.4346...Fax:713.869.5655....Email: mailbox@twu.com</p> |

## **Part 8: Results of Reviews**

The reviewer did not find any errors

## **Part 9: Agreement**

### **UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION**

#### **GRANT AGREEMENT (FTA G-19, October 1, 2012)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official's electronic signature is entered for this Grant Agreement, FTA has Awarded Federal assistance in support of the Project described below. Upon Execution of this Grant Agreement by the Grantee named below, the Grantee affirms this FTA Award, and enters into this Grant Agreement with FTA. The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(19), October 1, 2012,  
<http://www.fta.dot.gov/documents/19-Master.pdf>

- (2) The Certifications and Assurances applicable to the Project that the Grantee has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE GRANTEE DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

### **FTA AWARD**

FTA hereby awards a Federal grant as follows:

Project No: TX-90-Y026-00

Grantee: FORT BEND COUNTY

Citation of Statute(s) Authorizing Project: 49 USC 5307 - Urbanized Area Formula (FY2006 forward)

Estimated Total Eligible Cost (in U.S. Dollars): \$1,767,701

Maximum Total FTA Amount Awarded (in U.S. Dollars): \$1,767,701

Amount of This FTA Award (in U.S. Dollars): \$1,767,701

Maximum Percentage(s) of FTA Participation:

Percentages of Federal participation are based on amounts included in the Approved Project Budget, modified as set forth in the text following the Project Description.

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Project Certification Date: 9/20/2013

Project Description:

FY2012 Sect 5307 program of project

The Project Description includes information describing the Project within the Project Application submitted to FTA, and the Approved Project Budget, modified by any additional statements displayed in this Grant Agreement, and, to the extent FTA concurs, statements in other documents including Attachments entered into TEAM-Web.

This award of Federal financial assistance is subject to the terms and conditions set forth in the U.S. Department of Labor's certification letter dated September 20, 2013, to the Federal Transit Administration with respect to this numbered grant, including any attachments to the letter, which are fully incorporated herein by reference.

Awarded By:

Mr. Blas M Uribe, IV

Deputy Regional Administrator

FEDERAL TRANSIT ADMINISTRATION

U.S. DEPARTMENT OF TRANSPORTATION

09/23/2013

### **EXECUTION OF GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Grantee, the Effective Date will be the date FTA or the

Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Grantee intends to enter into a legally binding agreement in which the Grantee:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

By executing this Grant Agreement, I am simultaneously executing any Supplemental Agreement that may be required to effectuate this Grant Agreement.

--- Page 1 ---

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UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

SUPPLEMENTAL AGREEMENT  
(Attachment to FTA G-19, October 1, 2012)

<SPAN style=""font-size: " Arial? font-family: black; color: 10pt;>

It is the practice of the Federal Transit Administration to enter into a formal agreement with the Designated Recipient for projects that the Designated Recipient does not carry out directly. Under this Grant Agreement, the Grant Recipient is not the Designated Recipient. Therefore, the Designated Recipient hereby agrees to permit the Grant Recipient under this Grant Agreement to receive and dispense the Federal assistance funds described in this Grant Agreement. The Designated Recipient further agrees that the Grant Recipient shall assume all responsibilities set forth in this Grant Agreement.

The Federal Government and the Grant Recipient under this Grant Agreement hereby agree that the Designated Recipient is not in any manner subject to or responsible for the terms and conditions of this Grant Agreement and is a party to this Grant Agreement only to assign the right to receive and dispense Federal funds to the Grant Recipient as described above.

FTA Official:



Mr. Blas M Uribe, IV  
Deputy Regional Administrator  
FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION